

(*
CS51 Lab 9
Substitution Semantics

Objective:

In this lab, you'll gain practice with understanding and generating substitution semantic derivations, along with the formal definitions of free variables and substitution.

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(*=====
Part 1: Substitution semantics derivation

In this part of the lab, you'll work out the formal derivation of the substitution semantics for the expression

```
let x = 3 + 5 in
(fun x -> x * x) (x - 2)
```

according to the semantic rules presented in Chapter 13.

Before beginning, what should this expression evaluate to? Test out your prediction in the OCaml REPL. *)

(* The exercises will take you through the derivation stepwise, so that you can use the results from earlier exercises in the later exercises.

By way of example, we do the first couple of exercises for you to give you the idea.

Note: When we ask for a derivation using a particular set of rules -- such as the substitution rules in Figure 13.4 or the semantic rules in Figure 13.5 -- the derivation should be explicit about that particular set of rules, but should not explicitly show the use of any other rules. For instance, in Exercise 3, the solution shows the semantic rules (Figure 13.5) in the derivation explicitly. Implicitly, it's making use of the substitution rules (Figure 13.4) by its appeal to Exercise 2.

.....
Exercise 1. Carry out the derivation for the semantics of the expression '3 + 5', using the semantics rules in Figure 13.5.
.....*)

(* SOLUTION:

```
3 + 5
  | 3
  | 5
  | 8
(R_int)
(R_int)
(R_+)
```

(We try to mimic the notation for semantic rules and derivations from the textbook, but the appearance is imperfect.)

This derivation was actually given in the reading in Section 13.1. We've annotated each line with the semantic rule that it uses. You should do that too below.

*)

(*.....
Exercise 2. What is the result of the following substitution according

to the definition in Figure 13.4?

(x + 5) [x ↦ 3]
*)

(* SOLUTION: Carrying out each step in the derivation:

$$\begin{aligned}
 (x + 5) [x \mapsto 3] & \\
 = x [x \mapsto 3] + 5 [x \mapsto 3] & \quad \text{(by 13.10)} \\
 = 3 + 5 [x \mapsto 3] & \quad \text{(by 13.8)} \\
 = 3 + 5 & \quad \text{(by 13.7)}
 \end{aligned}$$

Again, we've labeled each line with the number of the equation that was used from the set of equations for substitution in Figure 13.4. You should do that too.

NOTICE that in carrying out these substitution derivations, we use the = operator. The idea is that the expression on the left of the = is *the same as* (equal to) the expression on the right. For instance, the expression indicated by (x + 5) [x ↦ 3] (the expression resulting from substituting 3 for x in x + 5 *is* the expression 3 + 5. The = relation differs from the ↦ ("evaluates to") relation. In particular, the former is symmetric; the latter is not.

NOTICE that the result of substituting '3' for 'x' in 'x + 5' is '3 + 5' and not '8'. Do you understand why? *)

(*.....
 Exercise 3. Carry out the derivation for the semantics of the expression 'let x = 3 in x + 5', using the semantics rules in Figure 13.5.
*)

(* SOLUTION:

$$\begin{array}{ll}
 \text{let } x = 3 \text{ in } x + 5 \hat{\mapsto} & \\
 \quad \left| \begin{array}{l} 3 \hat{\mapsto} 3 \\ 3 + 5 \hat{\mapsto} 8 \end{array} \right. & \begin{array}{l} (R_int) \\ (\text{Exercises 2 and 1}) \end{array} \\
 \hat{\mapsto} 8 & (R_let)
 \end{array}$$

Note the labeling of one of the steps with the prior results from previous exercises. *)

(* Now it's your turn. We recommend doing these exercises with pencil on paper, rather than typing them in.

.....
 Exercise 4. Carry out the derivation for the semantics of the expression '8 - 2', using the semantics rules in Figure 13.5.
*)

(*.....
 Exercise 5. Carry out the derivation for the semantics of the expression '6 * 6', using the semantics rules in Figure 13.5.
*)

(*.....
 Exercise 6. What is the result of the following substitution according to the definition in Figure 13.4?

(x * x) [x ↦ 6]
*)

(*.....

Exercise 7. The set of 11 equations defining substitution in Figure 13.4 has an equation for function application. You'll need this equation in some exercises below. Without looking at Figure 13.4, what do you think such an equation should look like? Check your understanding against Figure 13.4.

.....*)

(* (Q R)[x ↦ P] = ????)

(*.....

Exercise 8. What is the result of the following substitution according to the definition in Figure 13.4?

((fun x -> x * x) (x - 2)) [x ↦ 8]

.....*)

(*.....

Exercise 9. Carry out the derivation for the semantics of the expression

(fun x -> x * x) (8 - 2)

using the semantics rules in Figure 13.5.

.....*)

(*.....

Exercise 10. Finally, carry out the derivation for the semantics of the expression

let x = 3 + 5 in (fun x -> x * x) (x - 2)

using the semantics rules in Figure 13.5.

.....*)

(*=====

Part 2: Pen and paper exercises with the free variables and substitution definitions

In this part, you'll get more practice using the definitions of FV and substitution from the textbook (Figure 13.4). Feel free to jump ahead to later problems if you "get it" and are finding the exercises tedious. *)

(*.....

Exercise 11: Use the definition of FV to derive the set of free variables in the expressions below. Show all steps using pen and paper. (You can see an example derivation for

FV(fun y -> f (x + y))

in Section 13.3.2 of the textbook.)

1. let x = 3 in let y = x in f x y

2. let x = x in let y = x in f x y

3. let x = y in let y = x in f x y

4. let x = fun y -> x in x

.....*)

(*.....

Exercise 12: What expressions are specified by the following substitutions? Show all the steps as per the definition of

substitution given in the textbook, Figure 13.4.

1. $(x + 1)[x \hat{=} 50]$
 2. $(x + 1)[y \hat{=} 50]$
 3. $(x * x)[x \hat{=} 2]$
 4. $(\text{let } x = y * y \text{ in } x + x)[x \hat{=} 3]$
 5. $(\text{let } x = y * y \text{ in } x + x)[y \hat{=} 3]$
-*)

(*.....

Exercise 13: For each of the following expressions, derive its final value using the evaluation rules in the textbook. Show all steps using pen and paper, and label them with the name of the evaluation rule used. Where an expression makes use of the evaluation of an earlier expression, you don't need to rederive the earlier expression's value; just use it directly.

1. $2 * 25$
 2. $\text{let } x = 2 * 25 \text{ in } x + 1$
 3. $\text{let } x = 2 \text{ in } x * x$
 4. $\text{let } x = 51 \text{ in let } x = 124 \text{ in } x$
-*)

(*=====

Part 3: Implementing a simple arithmetic language.

You will now implement a simple language for evaluating 'let' bindings and arithmetic expressions. Recall the following syntax for such a language from the textbook.

```

<binop> ::= + | - | * | /
<var>   ::= x | y | z | ...
<expr> ::= <integer>
         | <var>
         | <expr1> <binop> <expr>
         | let <var> = <expr_def> in <expr_body>
    
```

.....

Exercise 14: We've provided below type definitions that allow for expressions implementing this syntax. Augment the type definitions to allow for other binary operations (at least 'Minus' and 'Times') and for unary operations (at least Negate). Hint: Don't forget to extend the type definition of 'expr' to support unary operations as well.

When you're done, you should be able to specify expressions such as the following:

```

# Int 3 ;;
- : expr = Int 3
# Binop (Plus, Int 3, Var "x") ;;
- : expr = Binop (Plus, Int 3, Var "x")
# Unop (Negate, Int 3) ;;
- : expr = Unop (Negate, Int 3)
# Let ("x", Int 3, Binop (Plus, Int 3, Var "x")) ;;
- : expr = Let ("x", Int 3, Binop (Plus, Int 3, Var "x"))
.....*)
    
```

```

type varspec = string ;;

type binop =
  | Plus
  | Divide ;;

type unop =
  | NotYetImplemented ;;

type expr =
  | Int of int
  | Var of varspec
  | Binop of binop * expr * expr
  | Let of varspec * expr * expr ;;

```

(*.....
 Exercise 15: Write a function `free_vars : expr -> varspec Set.t` that returns a set of `varspec`s corresponding to the free variables in the expression.

The free variable rules in this simple language are a subset of those found in Figure 13.4, but we encourage you to first try to determine the rules on your own, consulting the textbook only as necessary.

To handle all of the set processing in the free variable rules -- unions and differences and so on -- we've provided a `VarSet` module built using OCaml's `Set.Make` functor. (More documentation on the `Set.Make` functor can be found at <https://v2.ocaml.org/api/Set.Make.html>.)

You should get behavior such as this, in calculating the free variables in the expression

```

let x = x + y in z * 3      :
# VarSet.elements
  (free_vars (Let ("x",
                  Binop (Plus, Var "x", Var "y"),
                  Binop (Times, Var "z", Int 3)))) ;;
- : Lab9.VarSet.elm list = ["x"; "y"; "z"]
.....*)

```

```

module VarSet = Set.Make (struct
  type t = varspec
  let compare = String.compare
end) ;;

```

```

let free_vars (exp : expr) =
  failwith "free_vars not implemented"

```

(*.....
 Exercise 16: Write a function `subst : expr -> varspec -> expr -> expr` that performs substitution, that is, `subst p x q` returns the expression that is the result of substituting `q` for the variable `x` in the expression `p`.

The necessary substitution rules for this simple language are as follows:

$m[x \hat{=} P] = m$ (where m is some integer value)

$x[x \hat{=} P] = P$

$y[x \hat{=} P] = y$ (where x and y are distinct variables)

$(\sim - Q)[x \hat{\ } P] = \sim - Q[x \hat{\ } P]$ (and similarly for other unary ops)

$(Q + R)[x \hat{\ } P] = Q[x \hat{\ } P] + R[x \hat{\ } P]$
(and similarly for other binary ops)

$(\text{let } x = Q \text{ in } R)[x \hat{\ } P] = \text{let } x = Q[x \hat{\ } P] \text{ in } R$

$(\text{let } y = Q \text{ in } R)[x \hat{\ } P] = \text{let } y = Q[x \hat{\ } P] \text{ in } R[x \hat{\ } P]$
(where x and y are distinct variables)

You should get the following behavior:

```
# let example = Let ("x", Binop (Plus, Var "x", Var "y"),
                    Binop (Times, Var "z", Var "x")) ;;
val example : Lab9.expr =
  Let ("x", Binop (Plus, Var "x", Var "y"), Binop (Times, Var "z", Var "x"))
# subst example "x" (Int 42) ;;
- : Lab9.expr =
  Let ("x", Binop (Plus, Int 42, Var "y"), Binop (Times, Var "z", Var "x"))
# subst example "y" (Int 42) ;;
- : Lab9.expr =
  Let ("x", Binop (Plus, Var "x", Int 42), Binop (Times, Var "z", Var "x"))
.....*)

let subst (exp : expr) (var_name : varspec) (repl : expr) : expr =
  failwith "subst not implemented" ;;

(*.....*)
Exercise 17: Complete the `eval` function below. Try to implement
these functions from scratch. If you get stuck, however, a good
(though incomplete) start can be found in section 13.4.2 of the
textbook.
.....*)

(* Please use the provided exceptions as appropriate. *)
exception UnboundVariable of string ;;
exception IllFormed of string ;;

let eval (e : expr) : expr =
  failwith "eval not implemented"

(*.....*)
Go ahead and test `eval` by evaluating some arithmetic expressions and
let bindings.

For instance, try the following expression, which is essentially

    let x = 6 in let y = 3 in x * y      .

# eval (Let ("x", Int 6,
            Let ("y", Int 3,
                Binop (Times, Var "x", Var "y")))) ;;
- : expr = Int 18

You now have a good start on the final project!
.....*)
```